

Colorado Fermented Malt Beverage (3.2% Beer) License Application

New License
 New-Concurrent
 Transfer of Ownership

- All answers must be printed in black ink or typewritten
- Applicant must check the appropriate box(es)
- Local license fee \$ _____
- Applicant should obtain a copy of the Colorado Liquor and Beer Code: www.colorado.gov/enforcement/liquor

1. Applicant is applying as a/an

Corporation
 Partnership (includes Limited Liability and Husband and Wife Partnerships)

Individual
 Limited Liability Company
 Association or Other

2. Applicant(s) If an LLC, name of LLC; if partnership, at least 2 partners' names; if corporation, name of corporation FEIN

| | | |
|---------------------------------------|---------------------|--------------------|
| 2a. Trade Name of Establishment (DBA) | State Sales Tax No. | Business Telephone |
|---------------------------------------|---------------------|--------------------|

3. Address of Premises (specify exact location of premises)

| | | | |
|------|--------|-------|----------|
| City | County | State | ZIP Code |
|------|--------|-------|----------|

| | | | |
|--|--------------|-------|----------|
| 4. Mailing Address (Number and Street) | City or Town | State | ZIP Code |
|--|--------------|-------|----------|

5. Email Address

6. If the premises currently has a liquor or beer license, you MUST answer the following questions

| | | | |
|---|---------------------------|--------------------------|-------------------------|
| Present Trade Name of Establishment (DBA) | Present State License No. | Present Class of License | Present Expiration Date |
|---|---------------------------|--------------------------|-------------------------|

| Section A Nonrefundable Application Fees | Section B 3.2% Beer License Fees |
|---|--|
| <input type="checkbox"/> Application Fee for New License \$1550.00 | <input type="checkbox"/> Retail 3.2% Beer On-Premises (City) \$96.25 |
| <input type="checkbox"/> Application Fee for New License - w/Concurrent Review \$1750.00 | <input type="checkbox"/> Retail 3.2% Beer On-Premises (County) \$117.50 |
| <input type="checkbox"/> Application Fee for Transfer \$1550.00 | <input type="checkbox"/> Retail 3.2% Beer Off-Premises (City) \$96.25 |
| | <input type="checkbox"/> Retail 3.2% Beer Off-Premises (County) \$117.50 |
| | <input type="checkbox"/> Retail 3.2% Beer On/Off Premises (City) \$96.25 |
| | <input type="checkbox"/> Retail 3.2% Beer On/Off Premises (County) \$117.50 |
| | <input type="checkbox"/> Master File Location Fee \$50.00 x _____ To _____ |
| | <input type="checkbox"/> Master File Background \$500.00 x _____ Total _____ |

Questions? Visit www.colorado.gov/enforcement/liquor for more information
Do Not Write In This Space - For Department Of Revenue Use Only

Liability Information

| | | | |
|------------------------|-----------------|---|--------------|
| License Account Number | Liability Date: | License Issued Through: (Expiration Date) | Total |
| | | | \$ |

Application Documents Checklist and Worksheet

Instructions: This check list should be utilized to assist applicants with filing all required documents for licensure. **All** documents must be properly signed and correspond with the name of the applicant exactly. **All** documents must be typed or legibly printed. Upon final State approval the license will be mailed to the local licensing authority. Application fees are nonrefundable.

Questions? Visit: www.colorado.gov/enforcement/liquor for more information.

| Items Submitted, Please Check all Appropriate Boxes Completed or Documents Submitted | |
|---|---|
| I. | Applicant Information <input type="checkbox"/> A. Applicant/Licensee identified <input type="checkbox"/> B. State sales tax license number listed or applied for at time of application <input type="checkbox"/> C. License type or other transaction identified <input type="checkbox"/> D. Submit originals to local authority <input type="checkbox"/> E. Additional information may be required by the local licensing authority |
| II. | Diagram of the Premises <input type="checkbox"/> A. No larger than 8 1/2" X 11" <input type="checkbox"/> B. Dimensions included (does not have to be to scale). Exterior areas should show control (fences, walls, etc.) <input type="checkbox"/> C. Separate diagram for each floor (if multiple levels) <input type="checkbox"/> D. Bold/Outlined licensed premises |
| III. | Proof of Property Possession (One Year Needed) <input type="checkbox"/> A. Deed in name of the applicant ONLY (or) (matching question #2) date stamped/filed with County Clerk <input type="checkbox"/> B. Lease in the name of the applicant ONLY (matching question #2) <input type="checkbox"/> C. Lease Assignment in the name of the applicant (ONLY) with proper consent from the Landlord and acceptance by the applicant <input type="checkbox"/> D. Other agreement if not deed or lease (attach prior lease to show right to assumption) |
| IV. | Background Information and Financial Documents <input type="checkbox"/> A. Individual History Record(s) (Form DR 8404-I) <input type="checkbox"/> B. Fingerprints taken and submitted to local authority. (State authority for master file applicants.) <input type="checkbox"/> C. Purchase agreement, stock transfer agreement, and/or authorization to transfer license <input type="checkbox"/> D. List of all notes and loans. |
| V. | Sole Proprietor/Husband and Wife Partnership (if applicable) <input type="checkbox"/> A. Form DR 4679 <input type="checkbox"/> B. Copy of State Issued Driver's License or Identification Card for each Applicant |
| VI. | Corporate Applicant Information (If Applicable) <input type="checkbox"/> A. Certificate of Incorporation (date stamped by Colorado Secretary State's Office) and/or <input type="checkbox"/> B. Certificate of Good Standing <input type="checkbox"/> C. Certificate of Authorization if foreign corporation <input type="checkbox"/> D. List of officers, directors and stockholders of parent corporation (designate one person as "principal officer") |
| VII. | Partnership Applicant Information (If Applicable) <input type="checkbox"/> A. Partnership Agreement (general or limited). Not needed if husband and wife <input type="checkbox"/> B. Certificate of Good Standing (if formed after 2009) |
| VIII. | Limited Liability Company Applicant Information (If Applicable) <input type="checkbox"/> A. Copy of articles of organization (date stamped by Colorado Secretary of State's Office) <input type="checkbox"/> B. Certificate of Good Standing if organized more than two years <input type="checkbox"/> C. Copy of operating agreement <input type="checkbox"/> D. Certificate of Authority (if foreign company) |

| | | | | |
|--|--------------------------|--------------------------|-------------|----------|
| 7. Is the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers under the age of twenty-one years? | Yes | No | | |
| | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 8. Has the applicant (including any of the partners if a partnership; members or managers if a limited liability company; or officers, stockholders or directors if a corporation) or managers ever (in Colorado or any other state): | | | | |
| (a) been denied an alcohol beverage license? | <input type="checkbox"/> | <input type="checkbox"/> | | |
| (b) had an alcohol beverage license suspended or revoked? | <input type="checkbox"/> | <input type="checkbox"/> | | |
| (c) had interest in another entity that had an alcohol beverage license suspended or revoked? | <input type="checkbox"/> | <input type="checkbox"/> | | |
| If you answered yes to 8a, b or c, explain in detail on a separate sheet | | | | |
| 9. Has a 3.2 beer license for the premises to be licensed been denied within the preceding one year? If "yes," explain in detail. | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 10. Has a liquor or beer license ever been issued to the applicant (including any of the partners, if a partnership; members or manager if a limited liability company; or officers, stockholders or directors if a corporation)? If yes, identify the name of the business and list any current or former financial interest in said business including any loans to or from a licensee. | <input type="checkbox"/> | <input type="checkbox"/> | | |
| 11. Does the applicant, as listed on line 2 of this application, have legal possession of the premises by virtue of ownership, lease or other arrangement? | <input type="checkbox"/> | <input type="checkbox"/> | | |
| <input type="checkbox"/> Ownership <input type="checkbox"/> Lease <input type="checkbox"/> Other (Explain in Detail) _____ | | | | |
| a. If leased, list name of landlord and tenant, and date of expiration, EXACTLY as they appear on the lease: | | | | |
| Landlord | Tenant | Expires | | |
| b. Is a percentage of alcohol sales included as compensation to the landlord? If yes complete question 12. | | | | |
| <input type="checkbox"/> <input type="checkbox"/> | | | | |
| c. Attach a diagram or designate the area to be licensed in black bold outline (including dimensions) which shows the bars, brewery, walls, partitions, entrances, exits and what each room shall be utilized for in this business. This diagram should be no larger than 8 1/2" X 11". | | | | |
| 12. Who, besides the owners listed in this application (including persons, firms, partnerships, corporations, limited liability companies) will loan or give money, inventory, furniture or equipment to or for use in this business; or who will receive money from this business? Attach a separate sheet if necessary. | | | | |
| Last Name | First Name | Date of Birth | FEIN or SSN | Interest |
| | | | | |
| Last Name | First Name | Date of Birth | FEIN or SSN | Interest |
| | | | | |
| Attach copies of all notes and security instruments and any written agreement or details of any oral agreement, by which any person (including partnerships, corporations, limited liability companies, etc.) will share in the profit or gross proceeds of this establishment, and any agreement relating to the business which is contingent or conditional in any way by volume, profit, sales, giving of advice or consultation. | | | | |
| 13. Name of Manager(s) for all on and on/off applicants. | | | | |
| Last Name | First Name | Date of Birth | | |
| | | | | |
| 14. Does this manager act as the manager of, or have a financial interest in, any other liquor licensed establishment in the State of Colorado? If yes, provide name, type of license and account number. | | | | |
| <input type="checkbox"/> <input type="checkbox"/> | | | | |
| 15. Tax Distraint Information. Does the applicant or any other person listed on this application including its partners, officers, directors, stockholders, members (LLC) or managing members (LLC) and any other persons with a 10% or greater financial interest in the applicant currently have an outstanding tax distraint issued to them by the Colorado Department of Revenue? | | | | |
| <input type="checkbox"/> <input type="checkbox"/> | | | | |
| If yes, provide an explanation and include copies of any payment agreements. | | | | |

16. If applicant is a corporation, partnership, association or limited liability company, applicant must list all Officers, Directors, General Partners, and Managing Members. In addition, applicant must list any stockholders, partners, or members with ownership of 10% or more in the Applicant. All persons listed below must also attach form DR 8404-I (Individual History Record), and submit fingerprint cards to the local licensing authority.

| | | | | |
|------|----------------------------|---------------|----------|---------|
| Name | Home Address, City & State | Date of Birth | Position | % Owned |
| Name | Home Address, City & State | Date of Birth | Position | % Owned |
| Name | Home Address, City & State | Date of Birth | Position | % Owned |
| Name | Home Address, City & State | Date of Birth | Position | % Owned |

** Limited Liability Companies and Partnerships - 100% of ownership must be accounted for on question #16
 ** Corporations - The President, Vice-President, Secretary and Treasurer must be accounted for on question #16
 (Include ownership percentage if applicable)

Oath of Applicant

I declare under penalty of perjury in the second degree that this application and all attachments are true, correct, and complete to the best of my knowledge. I also acknowledge that it is my responsibility and the responsibility of my agents and employees to comply with the provisions of the Colorado Liquor or Beer Code which affect my license.

| | | |
|----------------------|------------------------|------|
| Authorized Signature | Printed Name and Title | Date |
|----------------------|------------------------|------|

Report and Approval of Local Licensing Authority (City/County)

| | |
|---|--|
| Date application filed with local authority | Date of local authority hearing (for new license applicants cannot be less than 30 days from date of application 12-47-311 (1) C.R.S.) |
|---|--|

Each person required to file DR 8404-I has been:

- Fingerprinted
- Subject to background investigation, including NCIC/CCIC check for outstanding warrants

That the local authority has conducted, or intends to conduct, an inspection of the proposed premises to ensure that the applicant is in compliance with and aware of, liquor code provisions affecting their class of license.

(Check One)

- Date of Inspection or Anticipated Date _____
- Upon approval of state licensing authority

The foregoing application has been examined; and the premises, business to be conducted, and character of the applicant are satisfactory. We do report that such license, if granted, will meet the reasonable requirements of the neighborhood and the desires of the adult inhabitants, and will comply with the provisions of Title 12, Article 46 or 47, C.R.S. and Liquor Rules. **Therefore, this application is approved.**

| | | | |
|-------------------------------|------------------|--|------|
| Local Licensing Authority for | Telephone Number | <input type="checkbox"/> Town, City <input type="checkbox"/> County | |
| Signature | Printed Name | Title | Date |
| Signature (attest) | Printed Name | Title | Date |